

DIFFRACTION

Reading: Gasvik CH.4

MAXWELLS EQUATIONS

• Govern behavior of electromagnetic fields

$$\nabla \cdot \vec{E} = \frac{\rho_f}{\epsilon} \quad \text{GAUSS' LAW} \quad \left(\int \rho_f = \int_S \vec{E} \cdot d\vec{A} \right)$$

$$\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \quad \text{FARADAY'S LAW OF INDUCTION}$$

$$\nabla \cdot \vec{B} = 0 \quad \text{NO MAGNETIC MONOPOLES}$$

$$\nabla \times \vec{B} = \mu \sigma \vec{E} + \mu \epsilon \frac{\partial \vec{E}}{\partial t} \quad \text{MAGNETIC INDUCTION (Displacement Current)}$$

ρ_f = free charge density, \vec{J}_f = free charge current, μ = magnetic permeability, ϵ = electric permittivity
 σ = conductivity, $\vec{J}_f = \sigma \vec{E} + \vec{J}_f'$

■ ELIMINATE B:

$$\nabla \times (\nabla \times \vec{E}) = \nabla \times \left(-\frac{\partial \vec{B}}{\partial t} \right)$$

$$\nabla \cdot (\nabla \times \vec{E}) - \nabla^2 \vec{E} = -\frac{\partial}{\partial t} (\nabla \times \vec{B})$$

ASSUME $\rho_f = 0$

$$-\nabla^2 \vec{E} = -\frac{\partial}{\partial t} (\mu \sigma \vec{E} + \mu \epsilon \frac{\partial \vec{E}}{\partial t})$$

ASSUME $\sigma = 0$ (dielectric)

$$\nabla^2 \vec{E} - \mu \epsilon \frac{\partial^2 \vec{E}}{\partial t^2} = 0$$

3D WAVE EQUATION FOR ELECTRIC FIELD

$$\frac{\partial^2 \psi}{\partial z^2} - \mu \epsilon \frac{\partial^2 \psi}{\partial t^2} = 0 \quad \text{1D WAVE EQUATION - HYPERBOLIC 2ND ORDER PDE}$$

■ LET: $v = \frac{1}{\sqrt{\mu \epsilon}} = \frac{c}{n}$ ← speed of light $3 \times 10^8 \text{ [m/sec]}$ → $\frac{\partial^2 \psi}{\partial z^2} = \frac{1}{v^2} \frac{\partial^2 \psi}{\partial t^2}$
 n ← index of refraction

■ SEPARATION OF VARIABLES

$$\psi(z, t) = Z(z) T(t)$$

$$\frac{1}{Z} \frac{\partial^2 Z}{\partial z^2} = \frac{1}{v^2 T} \frac{\partial^2 T}{\partial t^2} = \text{CONST} = -k^2$$

■ MOMENTUM

$$k = \frac{\omega N}{c} = \frac{2\pi}{\lambda}$$

↑ Propagation constant (momentum)

$N = n + ib$, COMPLEX OPTICAL INDEX

$$\lambda v = v$$

↑ WAVELENGTH

↑ FREQUENCY

PLANE WAVES

■ WAVE EQUATION

HAS 2 TYPES OF SOLUTION

$$Z_k(z) = \alpha_k e^{ikz} + \beta_k e^{-ikz}$$

$$T_k(z) = \gamma_k e^{i\omega t} + \delta_k e^{-i\omega t}$$

SUPERPOSITION

$$\psi(z, t) = \sum_k \left[\alpha_k \delta_k e^{i(kz - \omega t)} + \beta_k \gamma_k e^{-i(kz - \omega t)} \right] + \sum_k \left[\alpha_k \gamma_k e^{i(kz + \omega t)} + \beta_k \delta_k e^{-i(kz + \omega t)} \right]$$

• Pick only $\omega > 0$, $k \pm$ determines direction

$$\Psi(z,t) = \Psi_0 e^{i(kz - \omega t)}$$

← constant

IDENTITIES

$$e^{iu} = \cos u + i \sin u$$

$$e^{-iu} = \cos u - i \sin u$$

$$\cos z = \frac{e^{iz} + e^{-iz}}{2}$$

$$\sin z = \frac{e^{iz} - e^{-iz}}{2i}$$

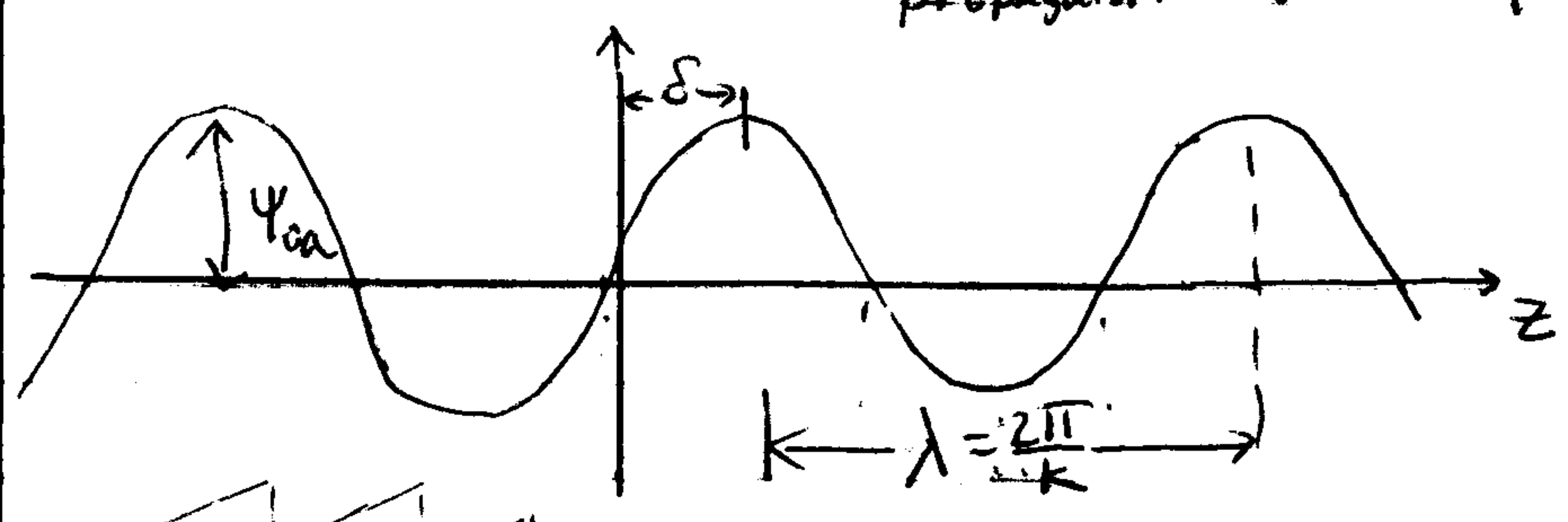
$$\Psi = (\Psi_{OR} + i\Psi_{OI}) \left[\cos(kz - \omega t) + i \sin(kz - \omega t) \right]$$

$$\Psi_{\text{physical}} = \text{Re}(\Psi) = \Psi_{OR} \cos(kz - \omega t) - \Psi_{OI} \sin(kz - \omega t)$$

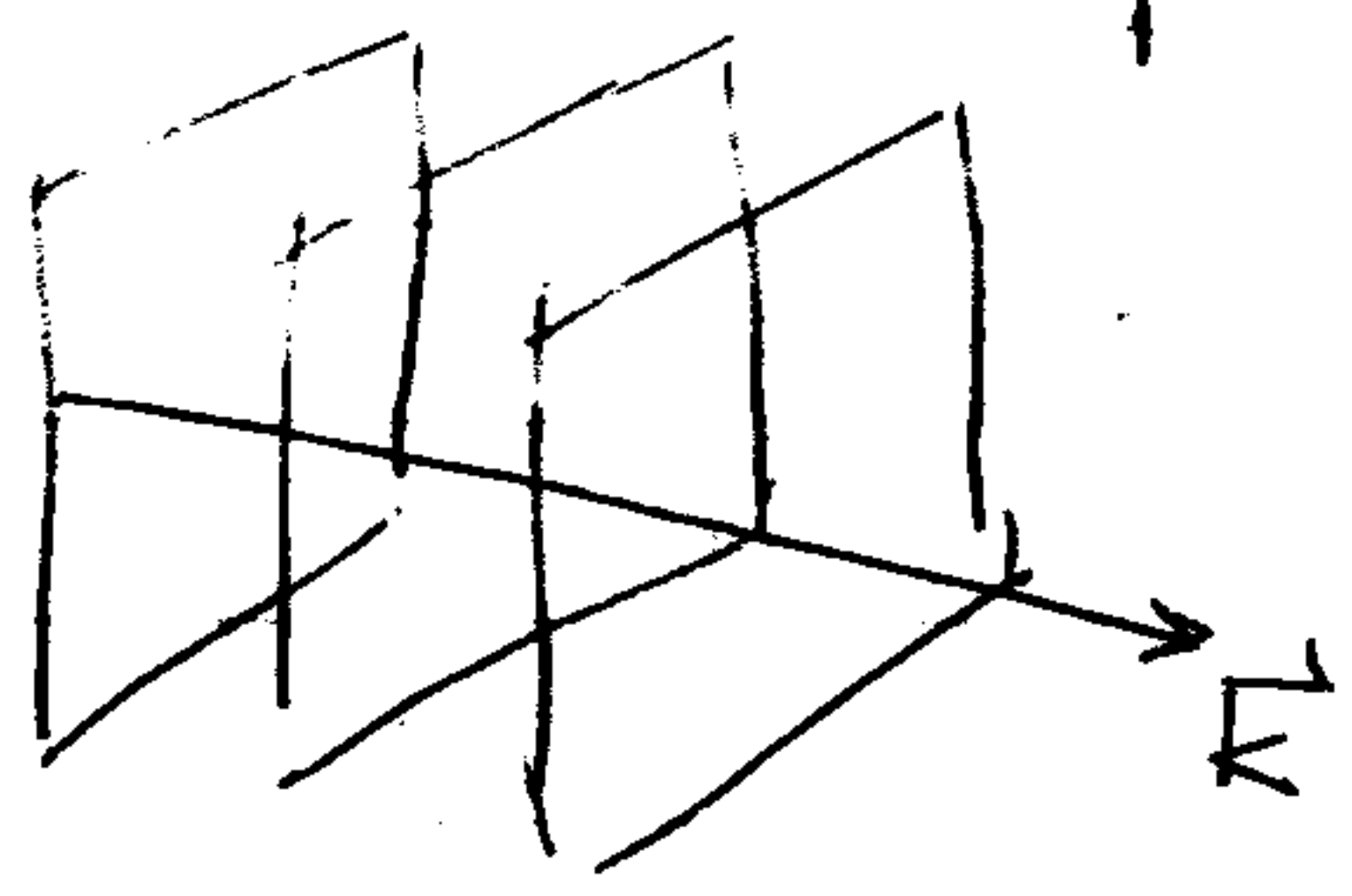
CONSIDER CONSTANT $\Psi_0 = \Psi_{0a} e^{i\delta} \rightarrow \Psi_{OR} = \Psi_{0a} \cos \delta, \Psi_{OI} = \Psi_{0a} \sin \delta$

$$\Psi_{\text{phys}} = \Psi_{0a} \cos(kz - \omega t + \delta)$$

↓ spatial propagation ↓ angular frequency → phase factor

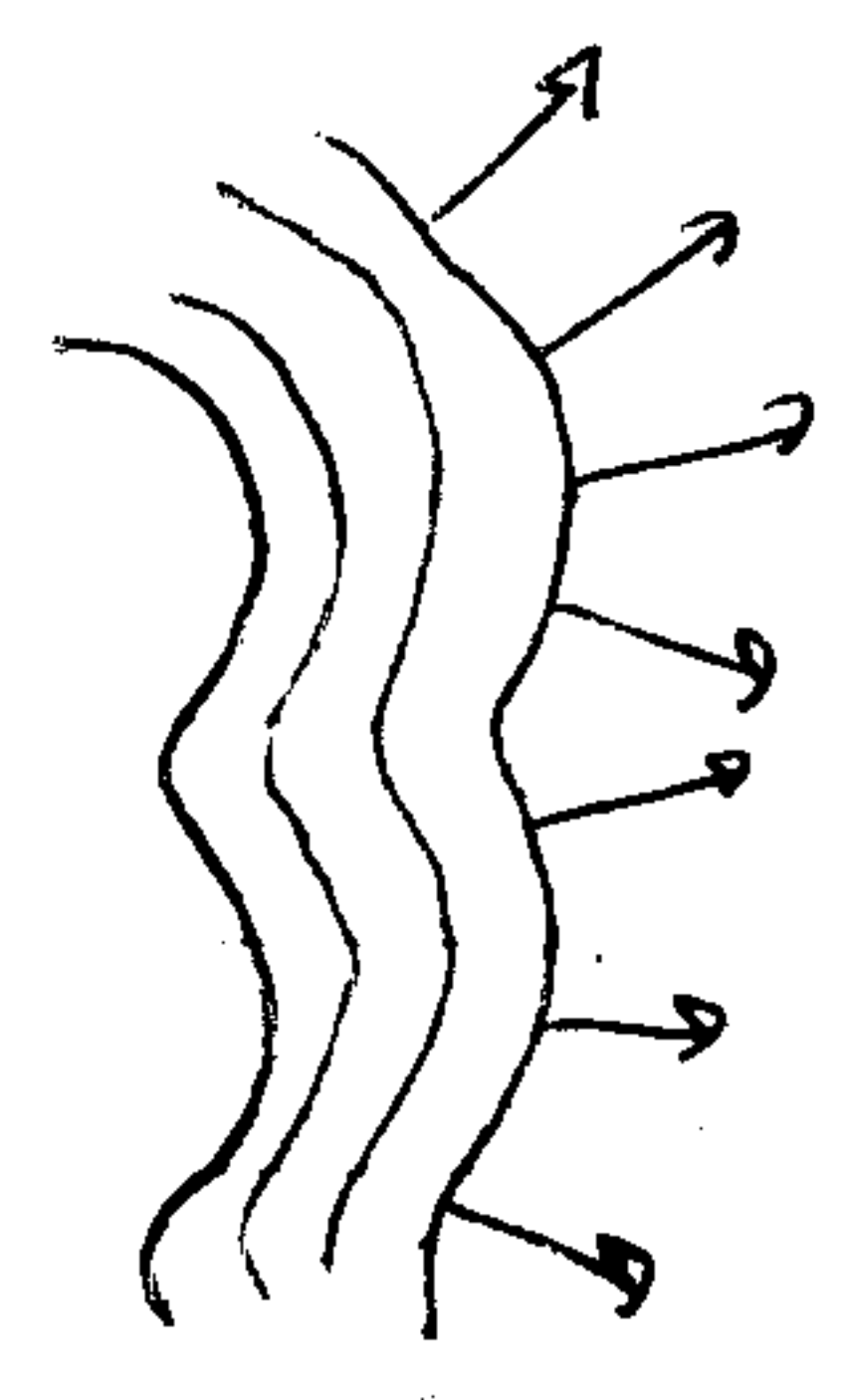


SPATIAL COMPONENT

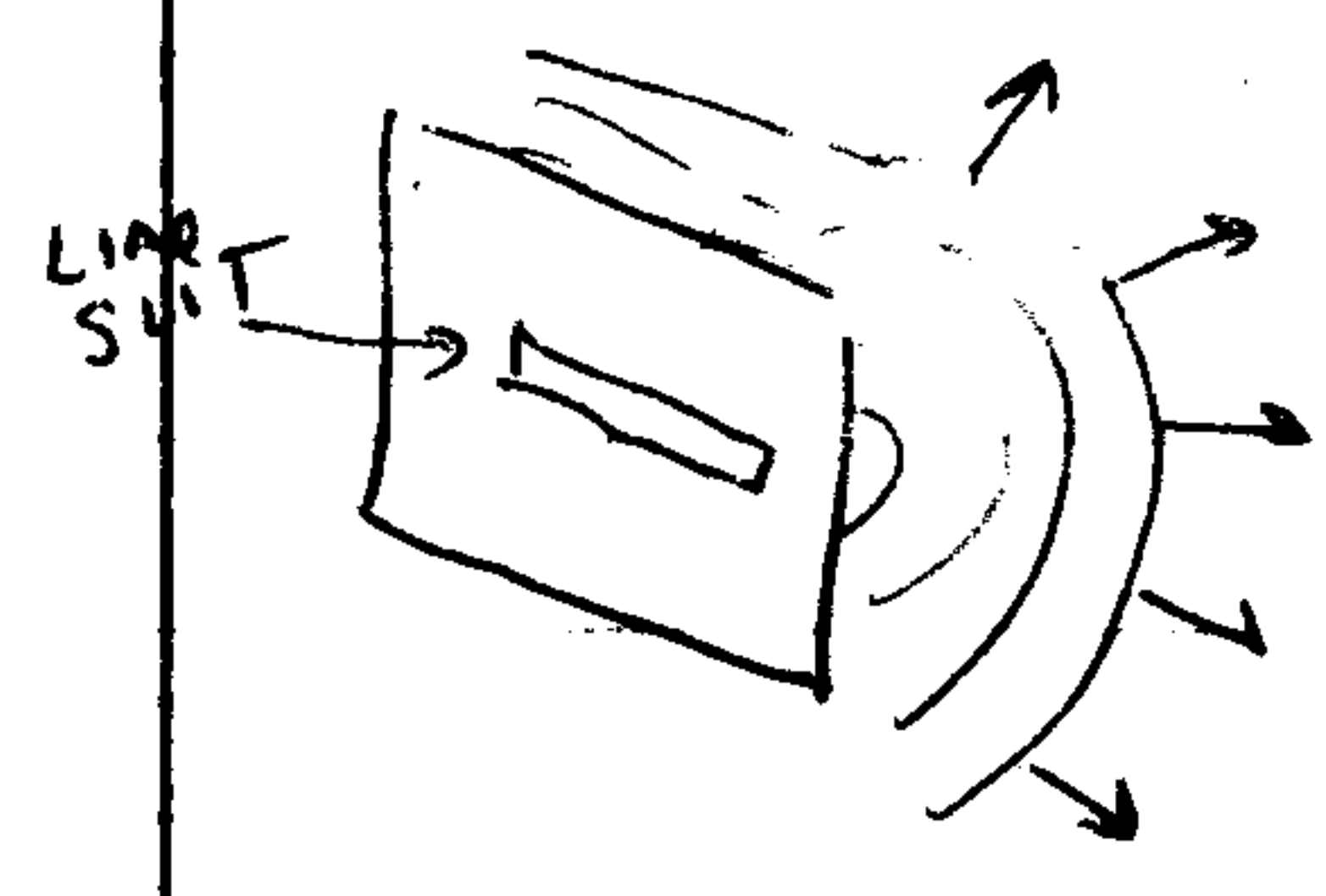


- Plane waves are sheets of uniform electrical field \vec{E} propagating along \vec{k}

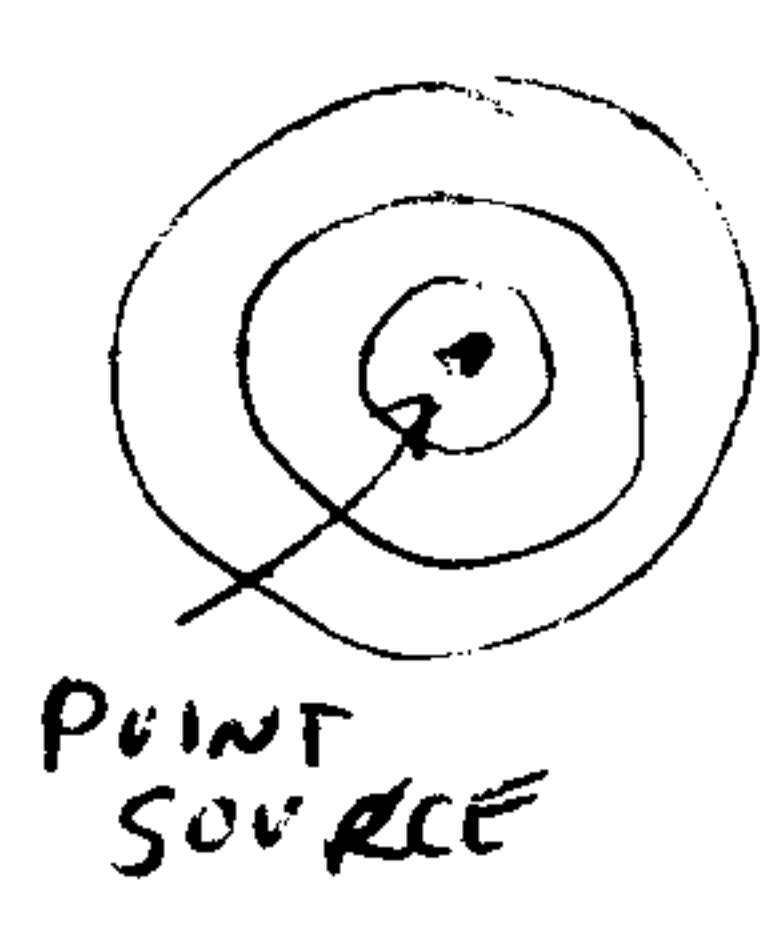
• WAVE SOLUTIONS NEED NOT BE PLANAR



Reflected/emitted from surface



Cylindrical wave



Spherical waves

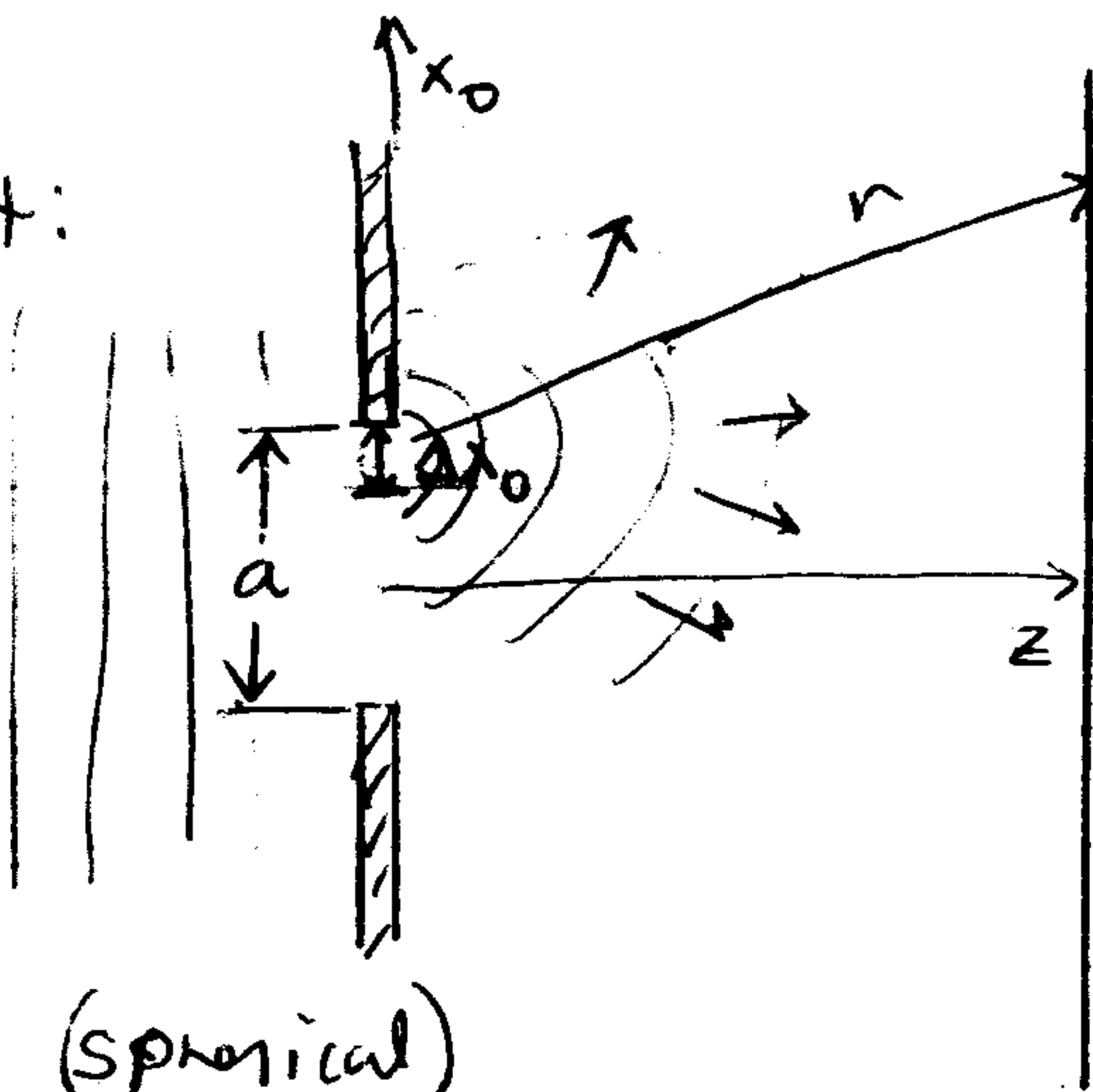
Diffraction from a single slit:

• Plane wave incident on slit of width a

• Use Huygen's Principle -

wavefront emerging from slit is superposition of (spherical)

waves Δu emitted from each differential section Δx_0 of slit.



$$u = \frac{U}{r} e^{ikr} \quad \text{SPHERICAL WAVE}$$

- For point source at (x_0, y_0) , $r = \sqrt{z^2 + (x-x_0)^2 + (y-y_0)^2}$
 $= z \sqrt{1 + \left(\frac{x-x_0}{z}\right)^2 + \left(\frac{y-y_0}{z}\right)^2}$

- Fresnel APPROXIMATION

$$u \approx \frac{U}{z} e^{ikr} \quad \text{for } z \gg x_0, x, y_0, y$$

$$\Delta u(x, y, z) = \frac{U}{z} e^{ikz} \exp \left\{ i \frac{k}{2z} \left[(x-x_0)^2 + (y-y_0)^2 \right] \right\}$$

Field amplitude from spherical wave.

$$u(x) = \frac{e^{ikz}}{z} \int_{-a/2}^{a/2} \exp \left[\frac{ik}{2z} (x-x_0)^2 \right] dx_0$$

$$= \frac{e^{ikz}}{z} \underbrace{\exp \left(\frac{ik}{2z} x^2 \right)}_B \int_{-a/2}^{a/2} \exp \left[\frac{ik}{2z} (-2xx_0 + x_0^2) \right] dx_0$$

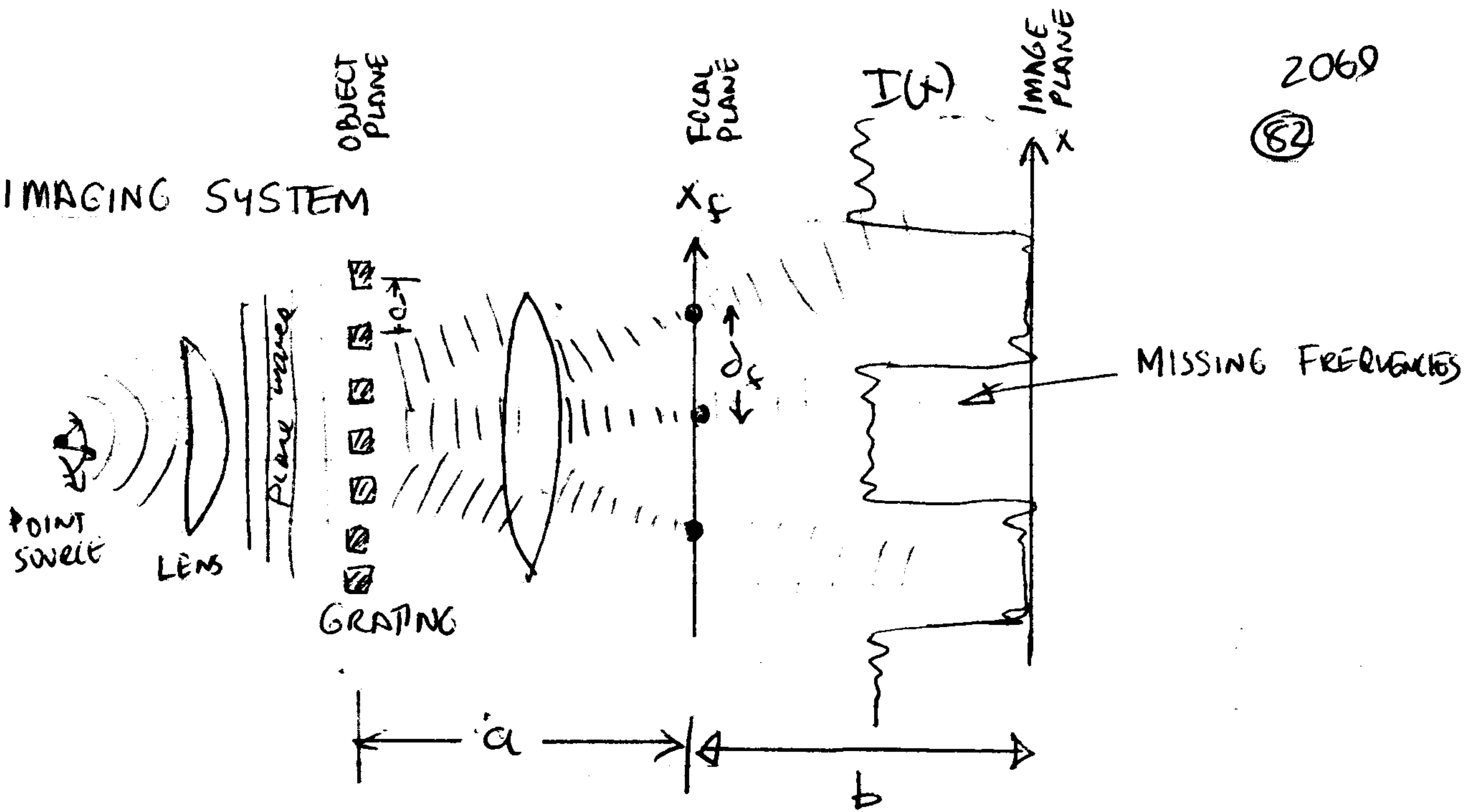
- Fraunhofer approximation $z \gg \frac{kx_0^2}{2}$

$$= \frac{B}{z} \left[\frac{z}{-ikx} \exp \left(\frac{ikxx_0}{z} \right) \right]_{-a/2}^{a/2} = \frac{-B}{ikx} \sin \left(\frac{kxa}{2z} \right) 2i$$

$$= \frac{2B}{kx} \sin \left(\frac{kxa}{2z} \right) = \frac{\lambda B}{\pi x} \sin \left(\frac{\pi ax}{z\lambda} \right)$$

- INTENSITY ON SCREEN $I(x) = |u(x)|^2 = \left| \frac{2B}{kx} \right|^2 \frac{a^2 \sin^2 \left(\frac{\pi a}{z\lambda} x \right)}{\left(\frac{\pi ax}{z\lambda} \right)^2}$

IMAGING SYSTEM



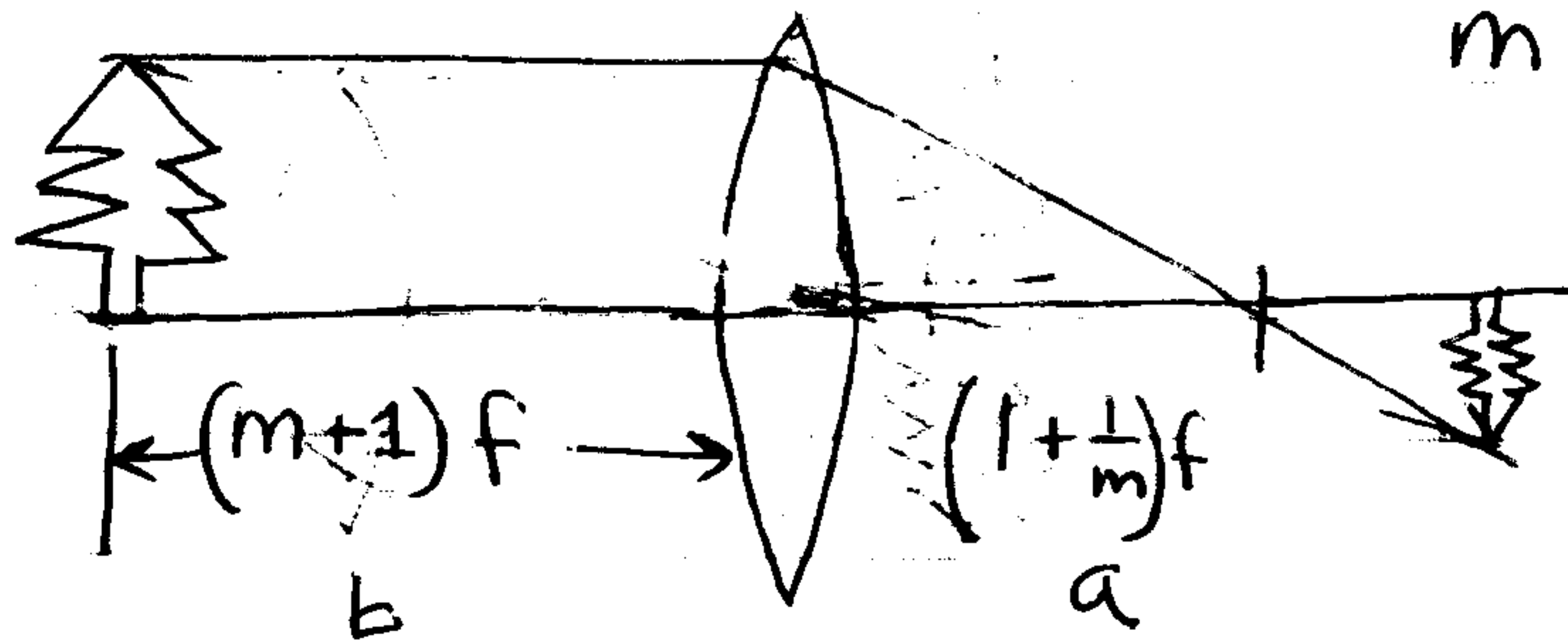
• Diffraction pattern (Fourier transform) of grating in focal plane

$$f_0 = \frac{1}{d} \quad d_f = \lambda f f_0$$

as $d \downarrow, d_f \uparrow$

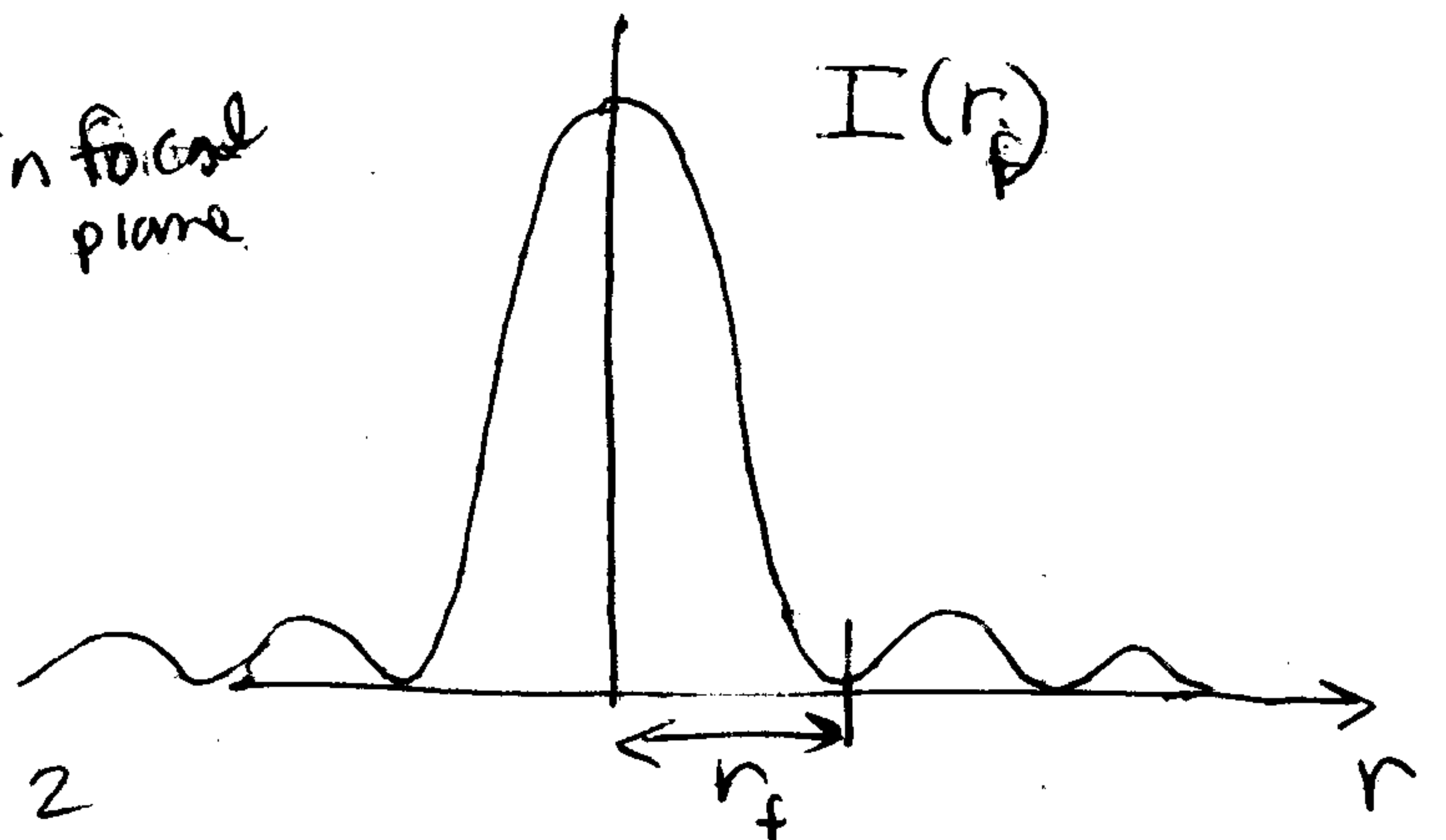
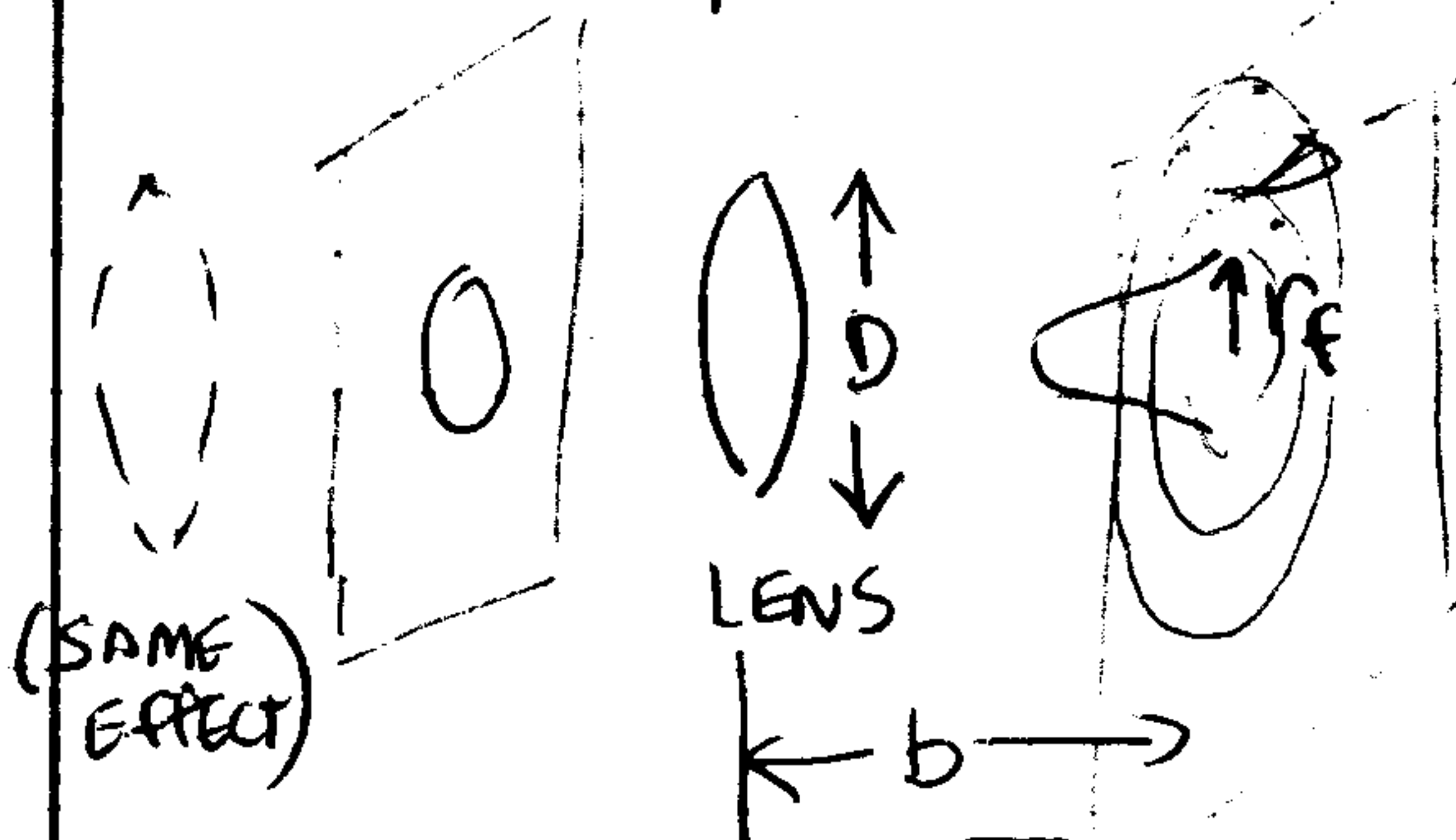
• Image of grating reassembled at image plane

$m = \text{magnification}$



$$\frac{b}{a} = \frac{m}{m+1} (m+1) = m$$

Circular aperture



$$I(r_f) = \left[\frac{J_1\left(\frac{k D r_f}{2b}\right)}{\frac{k D r_f}{2b}} \right]^2$$

Airy Pattern

$J_1 = \text{BESSEL FUNCTION}$

r_f = radius of airy disc

• Minimum in J_1 at $r = 3.833 = \frac{k D r_f}{2b} = \frac{2\pi D}{2b\lambda} r_f$

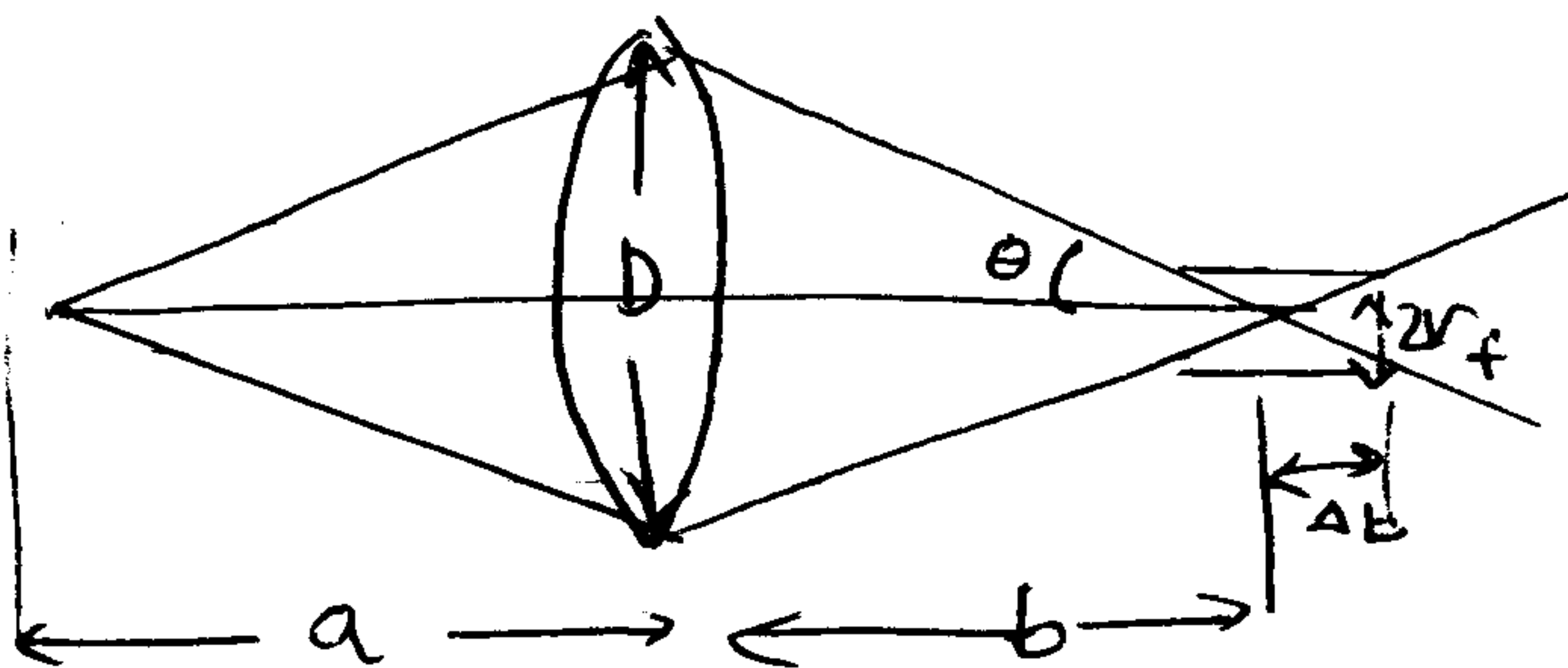
$$r_f = \frac{1.22\lambda b}{D} \leftarrow \begin{array}{l} \text{image distance} \\ \text{lens diameter} \end{array}$$

• Airy pattern is diffraction limited image of point source \rightarrow indicator of resolution in optical system

- WANT TO HAVE $D \uparrow$, $\lambda \downarrow$, $b \downarrow$ TO INCREASE RESOLUTION

DEPTH OF FOCUS

• $b \pm \Delta b$ is region of acceptable focus ($r < r_f$)



(focus is always ^{at best} airy disc)

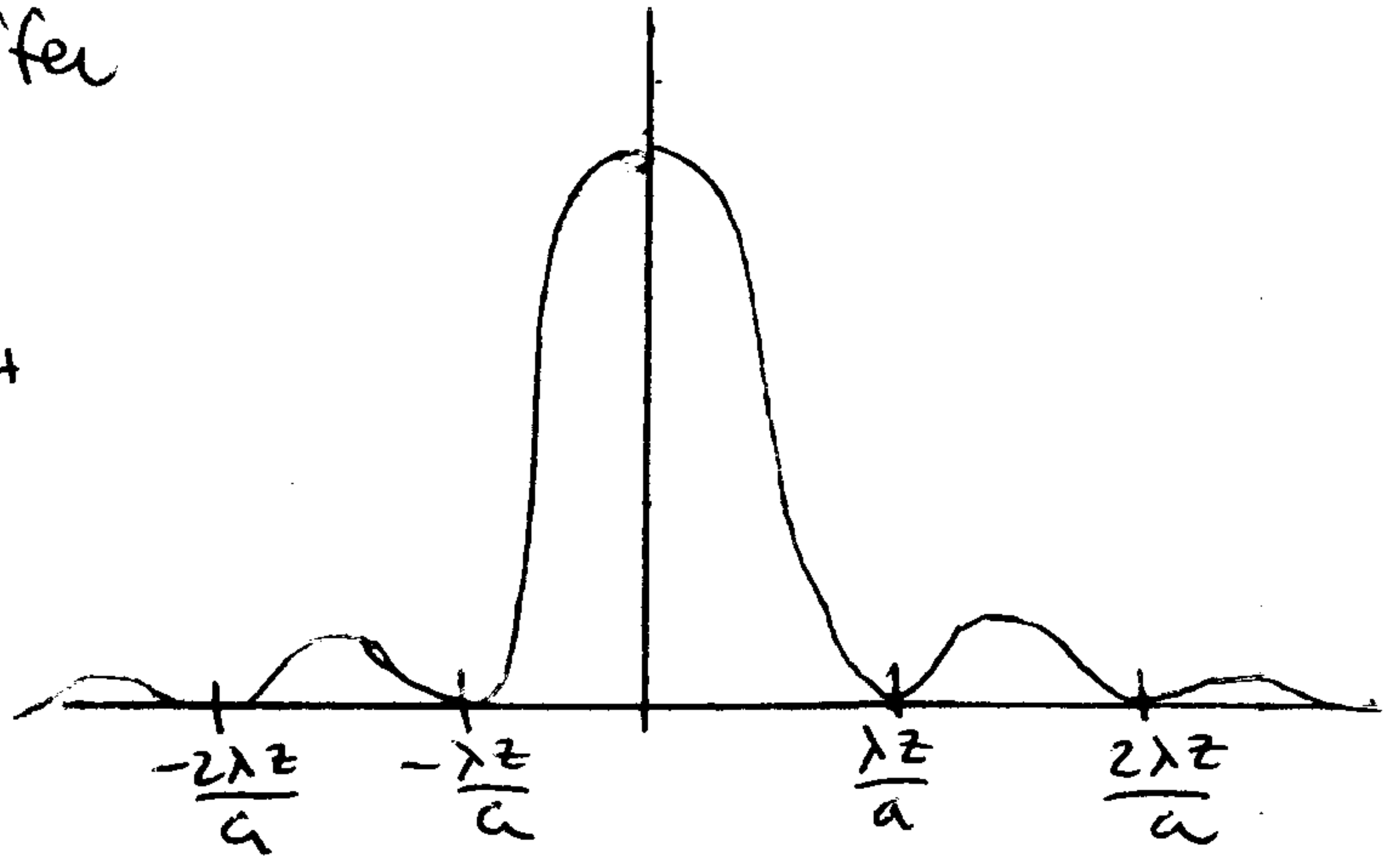
By SIMILAR TRIANGLES $\frac{D}{2b} = \frac{\Delta r}{\Delta b} = \frac{\Delta r_f}{\Delta b} = \frac{1.22\lambda b}{\Delta b D}$

$$\Delta b = 2(1.22)\lambda \left(\frac{b}{D}\right)^2 = 2.44\lambda \left(\frac{b}{D}\right)^2$$

$$NA = \frac{D}{f}, \quad \Delta b = 2.44\lambda \left(\frac{b}{f}\right)^2 \frac{1}{(NA)^2} = 2.44\lambda (1+m^2) \frac{1}{NA^2}$$

• This is the Fraunhofer diffraction pattern

$\Delta x \propto \frac{1}{a}$ ← SLIT WIDTH

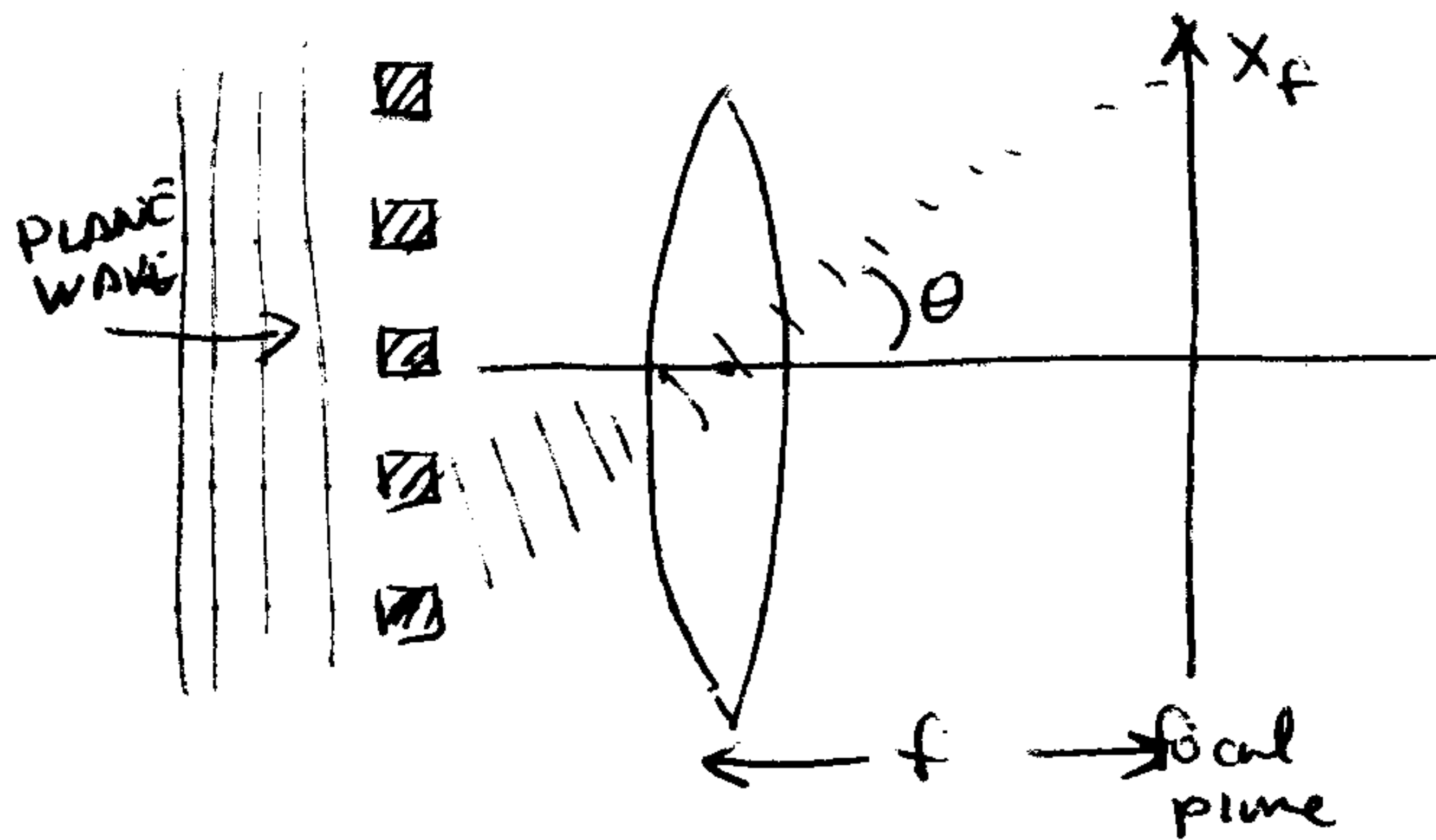


• More rigorously (Rayleigh-Sommerfeld diffraction formula)

$$u(P) = \frac{1}{i\lambda} \iint_{\text{opening}} u(P_0) \frac{e^{ikr}}{r} \cos \Omega \, dS$$

↑ incident field
↑ angle
↑ opening

■ SPATIAL FREQUENCY SPECTRUM



(Add lens behind grating)

$x_f = f \tan \theta = f \frac{\sin \theta}{\cos \theta} \rightarrow$ small angle

Bragg condition $\sin \theta_n = \frac{n\lambda}{D}$

$x_f = \frac{fn\lambda}{D} = fn\lambda f_0$ ← gratings $\frac{f}{D}$

• Focal plane contains frequency space representation of grating

